

This presentation presents pre-decisional, proposed changes to the SPR. Information is provided for feedback purposes.

ACL and Aging Partners' Meeting – Discussion of State Program Report (SPR) Proposed Revisions

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July 7, 2016

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Agenda

- Welcome and Introductions
- Goals, Process to Date and Future Plans
- Overview of Current State Program Report (SPR)
- Review & Discussion of Proposed Changes to SPR
- Next Steps and Adjournment

Pre-Decisional Material



Meeting Objectives

- Share ACL/AoA's proposal for SPR data changes
- Continue to receive feedback on proposed changes from partners
- Convey process and timeframe for final changes and development of a new system
- Discuss best approaches for working collaboratively to continue moving the process forward

Pre-Decisional Material



Process: Inclusive and Deliberative

- Administrative Data Redesign Workgroup (ADRW)
- Input Committees
- ACT groups
- Conferences, Meetings
- Individual State and AAA discussions
- Contractor Support

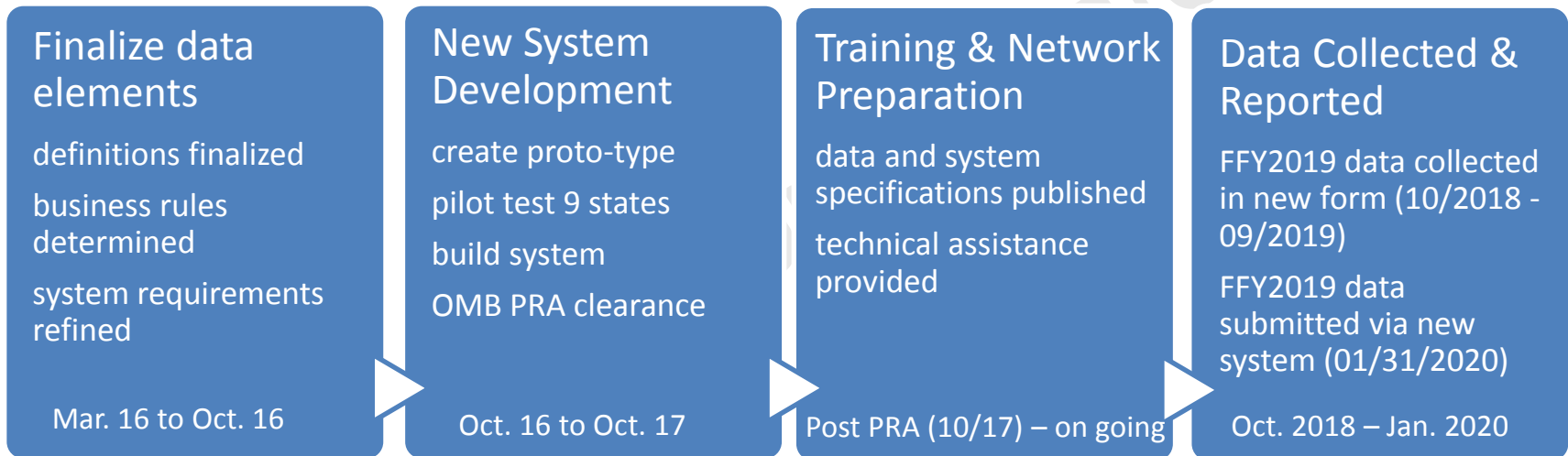
Pre-Decisional Material



Guiding Principles of Administrative Data Redesign (ADR)

- Engage stakeholder and partner community
- Evaluate each data element collected and the value it adds to program performance measurement
- Explore direct outcomes versus proxies/indicators
- Align data with other data collections
- Determine data gaps in key areas
- Lessen burden and improve data quality
 - Reduce number of data elements
 - Restructure data
 - Improve software functionality

Future Plans



Current Characteristics of SPR

Services – Older Adults	Services - Caregivers	Consumer Characteristics	Expenditures
Personal care	Counseling, Support Groups, Training	Age (categories)	OAA Title III \$
Homemaker	Respite care	Gender	Total service \$
Chore	Supplemental services	Rural geography	Program Income
Home-delivered meals	Self-directed care	Poverty status	OAA Title III Part B
Adult day care/health	Access Assistance	Household status – live alone	OAA Title III Part C1
Case management	Information Services	Ethnicity	OAA Title III Part C2
Assisted transportation		Race	OAA Title III Part D
Congregate meals		Number of ADL limitations	
Nutrition counseling		Number of IADL limitations	
Transportation		High Nutrition Risk Score	
Legal assistance		Caregiver Characteristics:	
Nutrition education		Relationship to care recipient	
Information and assistance		# of children 18 or younger	
Outreach		# of adults with disabilities	
Health Promotion			
Self-directed care			

Current SPR Structure

SECTION I. Elderly Clients

C. Detailed ADL Characteristics of Elderly Clients Receiving Cluster 1 Services

(Report information for all Cluster 1 services combined and each service separately.)

_____ Total Cluster 1 Clients _____ Personal Care _____ Homemaker
 _____ Chore _____ Home Delivered Meals _____ Adult Day Care/Health
 _____ Case Management

ADL SUMMARY FOR	All	All	All	All	All	Age	Age	Age	Age	Age	Age	Age	Age	Age	Age	Age	Age	Age	Age			
	Ages*	Ages	Ages	Ages	Ages	60-74	60-74	60-74	60-74	60-74	75-84	75-84	75-84	75-84	75-84	85+	85+	85+	85+			
	0	1	2	3+	0	0	1	2	3+	0	1	2	3+	0	1	2	3+	0	1	2	3+	
	Total	ADL	ADL	ADL	ADL	Total	ADL	ADL	ADL	ADL	Total	ADL	ADL	ADL	ADL	Total	ADL	ADL	ADL	ADL	ADL	
Total Clients																						
Clients with Age Data																						
Age Missing																						
ADLs Missing																						
Female																						
Male																						
Gender Missing																						
Rural																						
Rural Missing																						
Income below Poverty Level																						
Poverty Missing																						
Live Alone																						
Live Alone Missing																						
Clients by Ethnicity																						
Hispanic / Latino																						
Not Hispanic or Latino																						
Ethnicity Missing																						
Clients by Race or Ethnicity																						
White (Alone) – Non-Hispanic																						
Total Minorities																						
White (Alone) - Hispanic																						
American Indian or Alaskan Native (Alone)																						
Asian (Alone)																						
Black / African American (Alone)																						
Native Hawaiian or Pacific Islander (Alone)																						
Persons Reporting Some Other Race																						
Persons Reporting 2 or More Races																						
Race Missing																						

* Total includes OAA specified eligible meal participants under age 60.

Proposed Data Elements and Structure

Pre-Decisional Material



Overview of Data Components

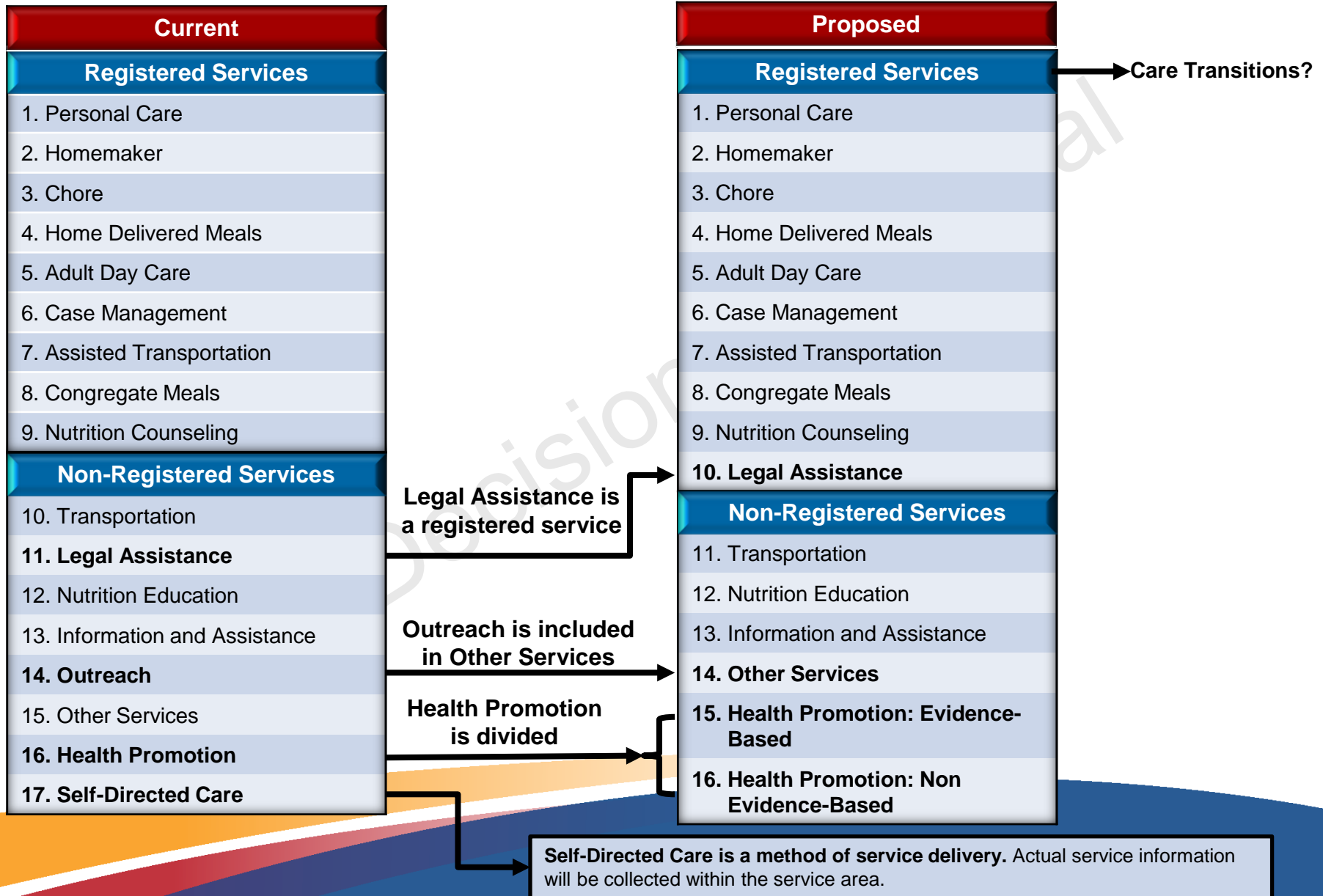
- **Services**
 - Units of Service
 - Meals that qualify for Nutrition Services Incentive Program (NSIP) grant allocation
- **Consumer Demographics and Characteristics**
 - Demographics: Age, Gender, Race, Ethnicity, Minority Status
 - Characteristics: Geography (rural status), Poverty Status, Household Status (lives alone),...
 - Functional Status: number of ADL/IADL limitations; Nutrition Risk Score
 - Caregiver-care recipient relationship
- **Expenditures**
 - Title III Expenditure
 - Other – State Expenditure
 - Other – Non-State Expenditures
 - Program Income Received
- **Network Profile/Additional Components**
 - Self-directed Services/Respite Vouchers
 - Other Services/Supplemental Services
 - Personnel/Volunteers
 - Direct Service Providers/Contracts
 - Senior Centers
 - Funding Sources

Services

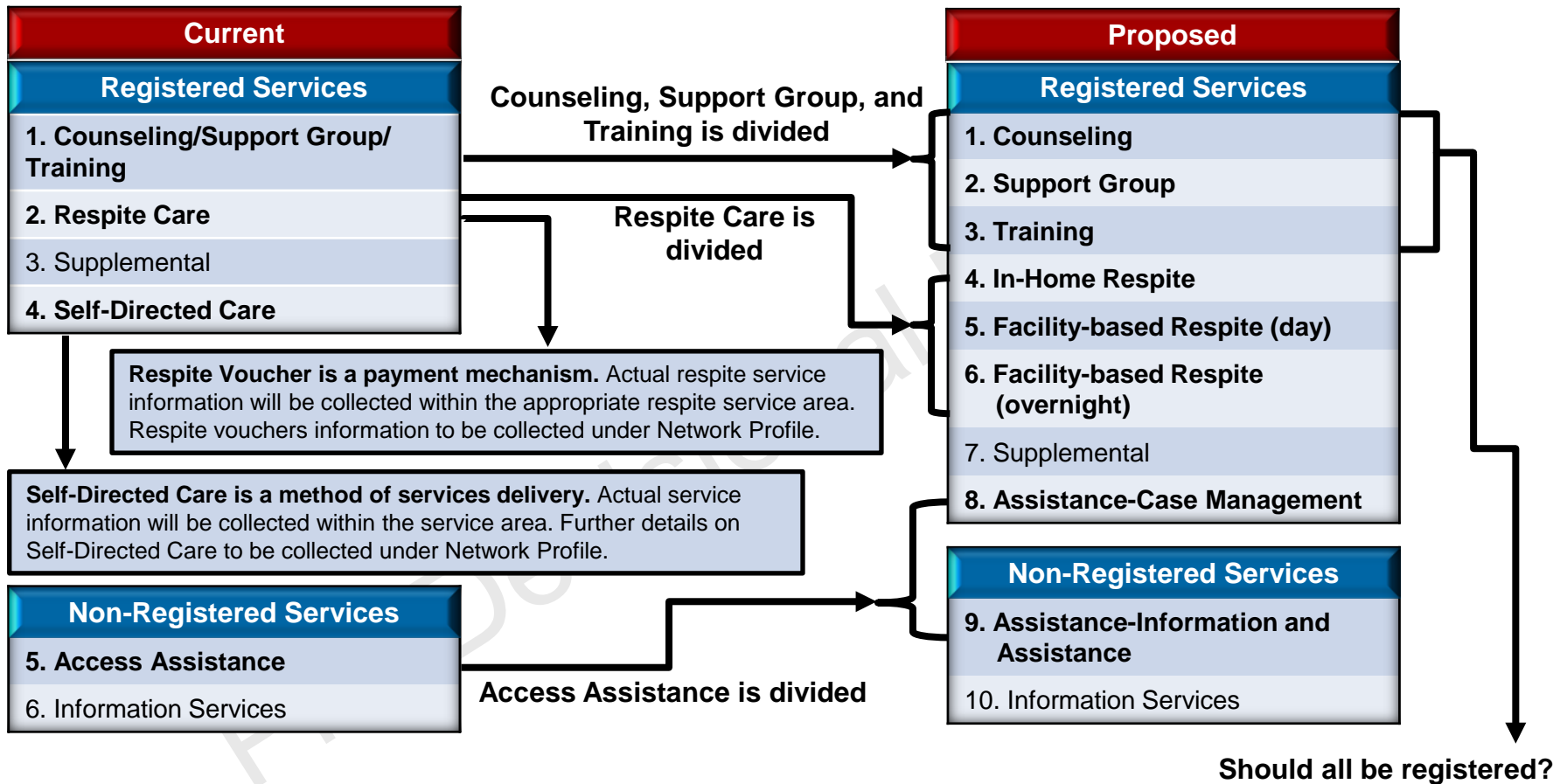
Pre-Decisional Material



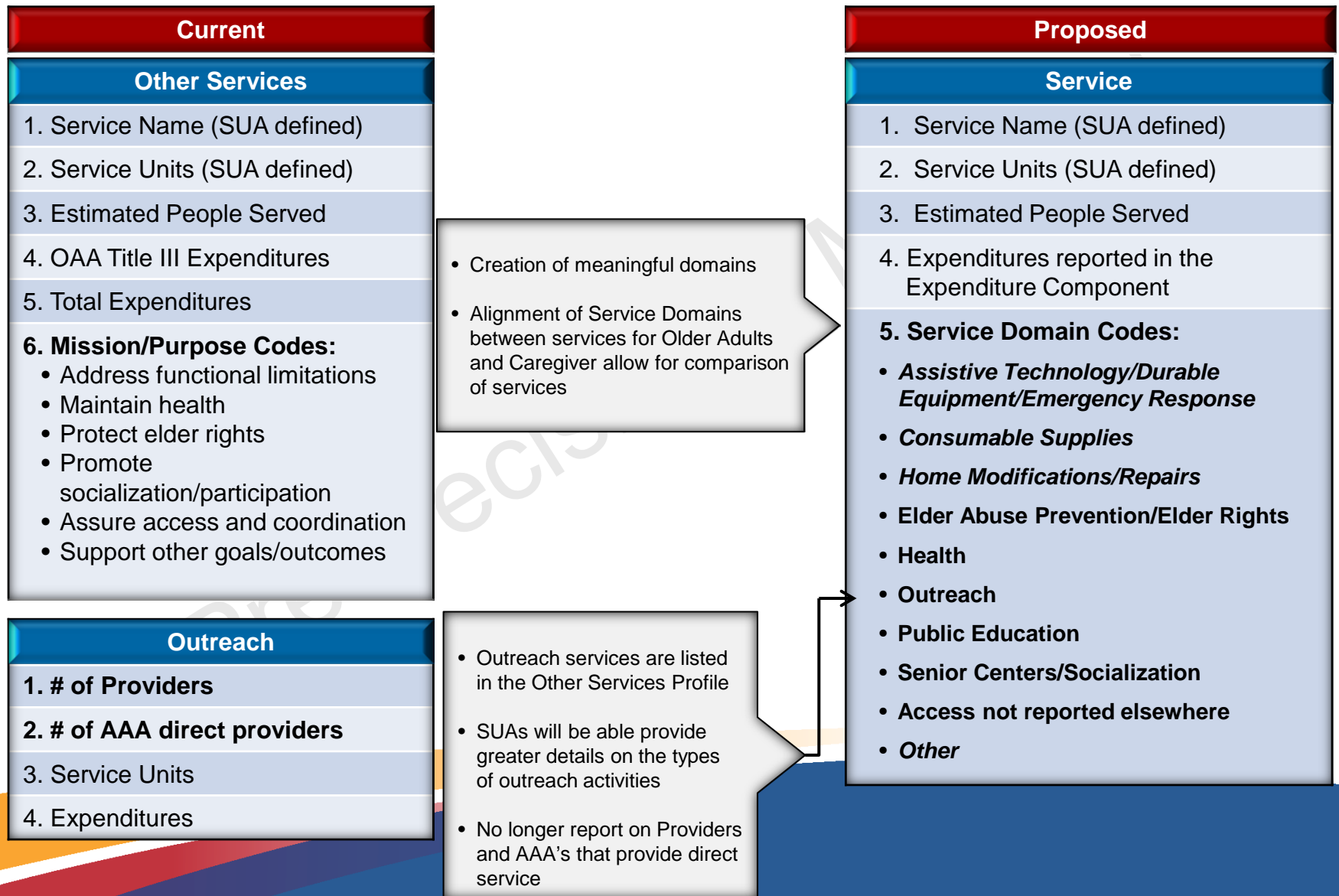
Services for Older Adult Consumers (Title III-B/C/D)



Services for Caregivers (Title III-E)



Older Adult Services: Other Services



Services for Caregiver: Supplemental

Current

Supplemental Service

- Service Profiles not collected

- Data will be collected on Supplemental services for Caregivers similar to how data on Other services for Older Adults are currently collected
- SUAs will be able provide greater details on the types of supplemental services they provide
- Data will provide the number of caregivers served and the expenditures for each specific service

Proposed

Supplemental Services

1. **Service Name (SUA defined)**
2. **Service Units (SUA defined)**
3. **Estimated People Served**
4. **Expenditures reported in the Expenditure Component**
5. **Service Domain Codes:**
 - *Assistive Technology/Durable Equipment/Emergency Response*
 - *Consumable Supplies*
 - *Home Modifications/Repairs*
 - *Legal/Financial Consultation*
 - *Homemaker/Chore/Personal Care*
 - *Transportation*
 - *Other*

Consumer Demographics & Characteristics

Pre-Decisional Material



Consumer Demographics and Characteristics

Current

Race

1. White (Alone) – Non-Hispanic
2. White (Alone) – Hispanic
3. American Indian or Alaska Native (Alone)
4. Asian (Alone)
5. Black or African American (Alone)
6. Native Hawaiian or Other Pacific Islander (Alone)
7. Persons Reporting Some Other Race
8. Persons Reporting 2 or More Races
9. Race Missing

- Ability to collect unduplicated count for all races
- Aligned race values with other data collections such as, NAMRS, Census
- Unable to determine unduplicated count of multi-racial consumers with aggregated data; consumer level data collection will provide count for registered services

Ethnicity

1. Hispanic or Latino
2. Not Hispanic or Latino
3. Ethnicity Missing

- No Change

Minority Status

Not Collected

- New data element
- States identify minority status based on CDC Source: Racial and ethnic minority populations are defined as: Asian American, Black or African American, Hispanic or Latino, Native Hawaiian and Other Pacific Islander, American Indian and Alaska Native.

Proposed

Race

1. American Indian or Alaska Native
2. Asian
3. Black or African American
4. Native Hawaiian or Other Pacific Islander
5. White
6. Race Missing

Ethnicity

1. Hispanic or Latino
2. Not Hispanic or Latino
3. Ethnicity Missing

Minority Status

1. Minority
2. Not Minority
3. Minority Status Missing

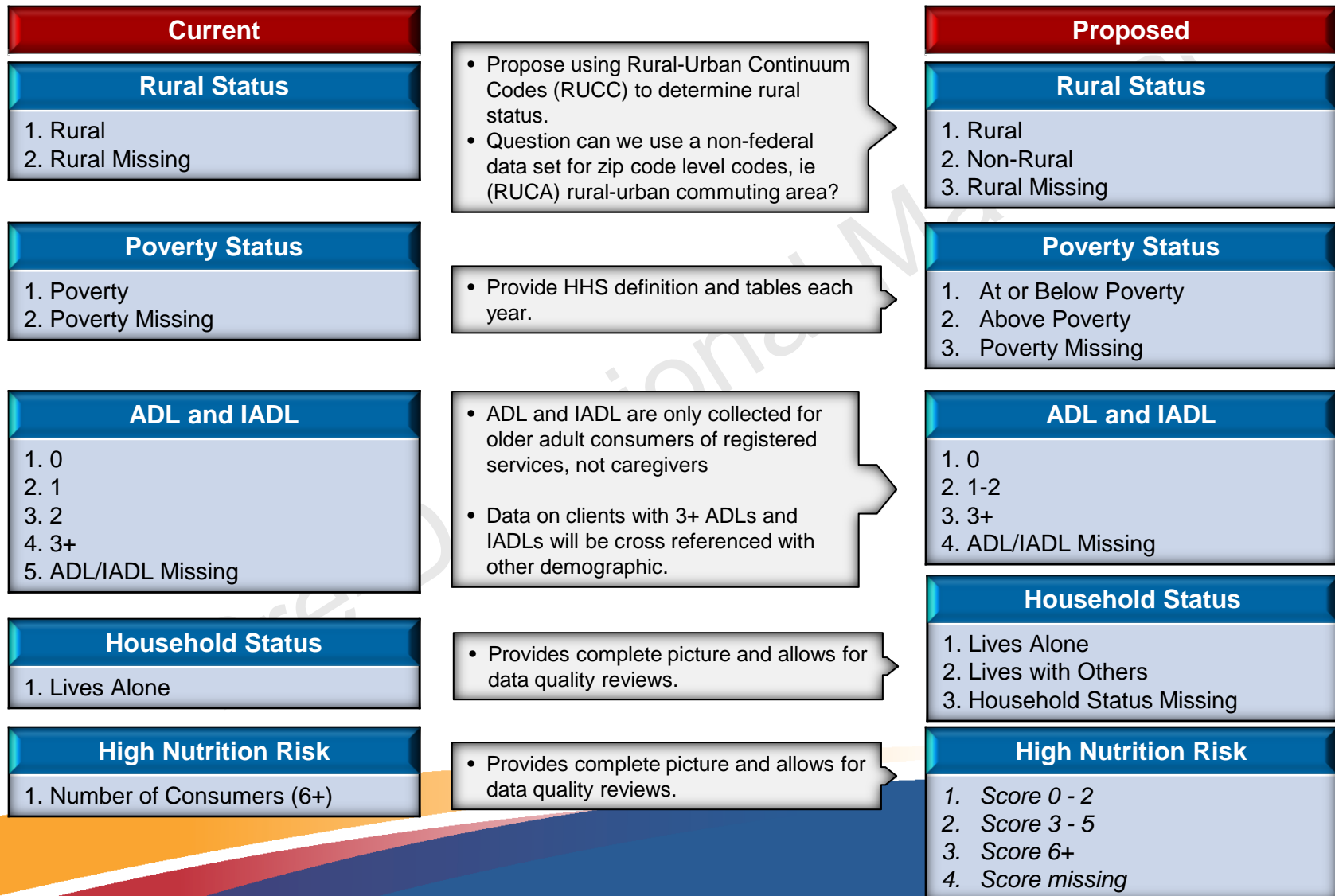
Consumer Demographics and Characteristics

Current
Age Groups
Older Adult Consumers
1. 60-74
2. 75-84
3. 85+
4. Age Missing
Caregiver for Older Adults
1. <60
2. 60-74
3. 75-84
4. 85+
5. Age Missing
Caregiver for Children and Adults under 60
1. 55-74
2. 75-84
3. 85+
4. Age Missing

- Increased granularly for identification of specific age populations
- Aligned group increments across Consumers and Caregiver
- Aligned age groups with other data collections such as, Medicare, Census, etc.
- Age groups above 60 are consistent, only under 60 are different (in **BOLD**)

Proposed
Age Groups
Older Adult Consumers
1. <60
2. 60-64
3. 65-74
4. 75-84
5. 85+
6. Age Missing
Caregiver for Older Adults
1. 18-49
2. 50-59
3. 60-64
4. 65-74
5. 75-84
6. 85+
7. Age Missing
Caregiver for Children and Adults under 60
1. 55-59
2. 60-64
3. 65-74
4. 75-84
5. 85+
6. Age Missing

Consumer Demographics and Characteristics



Consumer Demographics and Characteristics

Sexual Orientation and Gender Identity (SOGI)

- We are being encouraged and anticipate future requirements to collect this information
- Considerations/Challenges:
 - Evolving research on how to collect sexual orientation data
 - No standard around gender identity
 - Aging and Disability Networks are starting to collect this information
 - Activity in Congress: H.R.5373 LGBT Data Inclusion Act

Expenditures

Pre-Decisional Material



Expenditures Data: Title III Services

Current
Expenditures
Older Adult Consumer Services (Title III B/C/D)
<ul style="list-style-type: none">Title III ExpenditureTotal Service ExpenditureProgram Income ReceivedOAA Title III Expenditure – Part BOAA Title III Expenditure – Part C1OAA Title III Expenditure – Part C2OAA Title III Expenditure – Part D
Caregiver Services (Title III E)
<ul style="list-style-type: none">Title III ExpenditureTotal Service ExpenditureProgram Income Received

• System will total all expenditures

• Collecting if other federal funds are used for aging services

Proposed
Expenditures
Older Adult Consumer Services (Title III B/C/D)
<ul style="list-style-type: none">Title III ExpenditureOther – State ExpenditureOther – Non-State ExpendituresProgram Income Received <ul style="list-style-type: none">Title III Parts B & D expenditures for Health Promotion and Disease Prevention: Evidence-Based Services
Caregiver Services (Title III E)
<ul style="list-style-type: none">Title III ExpenditureOther – State ExpenditureOther – Non-State ExpendituresProgram Income Received
Check if these federal funds were included in ‘Other Expenditures’ (check boxes)
<ul style="list-style-type: none">MedicaidMedicaid WaiverSSBGUSDADOTHUD...

Title VII Expenditures

Current
Expenditures
Elder Abuse Prevention (Title VII Chapter 3)
<ul style="list-style-type: none">Title VII ExpenditureTotal Service Expenditure
Legal Assistance Dev. (Title VII Chapter 4)
<ul style="list-style-type: none">Total Service Expenditures

- System will total all expenditure
- Collection same expenditure data as Title III

Proposed
Expenditures
Elder Abuse Prevention (Title VII Chapter 3)
<ul style="list-style-type: none">Title VII ExpenditureOther – State ExpenditureOther – Non-State Expenditures
Legal Assistance Dev. (Title VII Chapter 4)
<ul style="list-style-type: none">Total Service Expenditures

Pre-Decisional

Other Data Components and Network Profile

Pre-Decisional Material



Self-Directed Services and Respite Voucher

Current

Self-Directed Services

- Self-Directed Service for Older Adult Consumers: non-registered Service, # of consumers but no demographics
- Self-Directed Service for Caregiver: registered service, demographics collected

Respite Voucher

- Not Currently Collected

Proposed

Self-Directed

For both Caregiver and Older Adult Programs report:

- Number of Consumers served
- Expenditures for Consumers

Respite Voucher

- Number of caregivers by caregiver program
- Expenditures by caregiver program

- Separate actual services provided from the method of delivery and payment
- Consumers and Caregivers will not be double counted
- Services provided through Self-Direction will be counted in the actual service count and not double counted in the Self-Directed service count

- Separate actual respite services provided from the method of delivery/payment.

Legal Assistance Service

Current

Legal Assistance

- Unregistered service – no consumer number or characteristics
- Service Units (1 hour)
- Expenditures (see expenditure component)

- Collect number of cases and number of consumers
- Collect demographic data on consumers that receive legal assistance
- Collect specific data on the types of legal assistance provided
- Cross referencing between OAA priority legal issues and LSC case types

Proposed

Legal Assistance

Consumer Demographics:

- Age (Categories)
- Gender
- Rural Status
- Poverty Status
- Ethnicity
- Race
- Minority Status

Number of Consumers Served

Number of Active Cases

Total Hours of Services (Units)

Number of Cases by specific legal services:

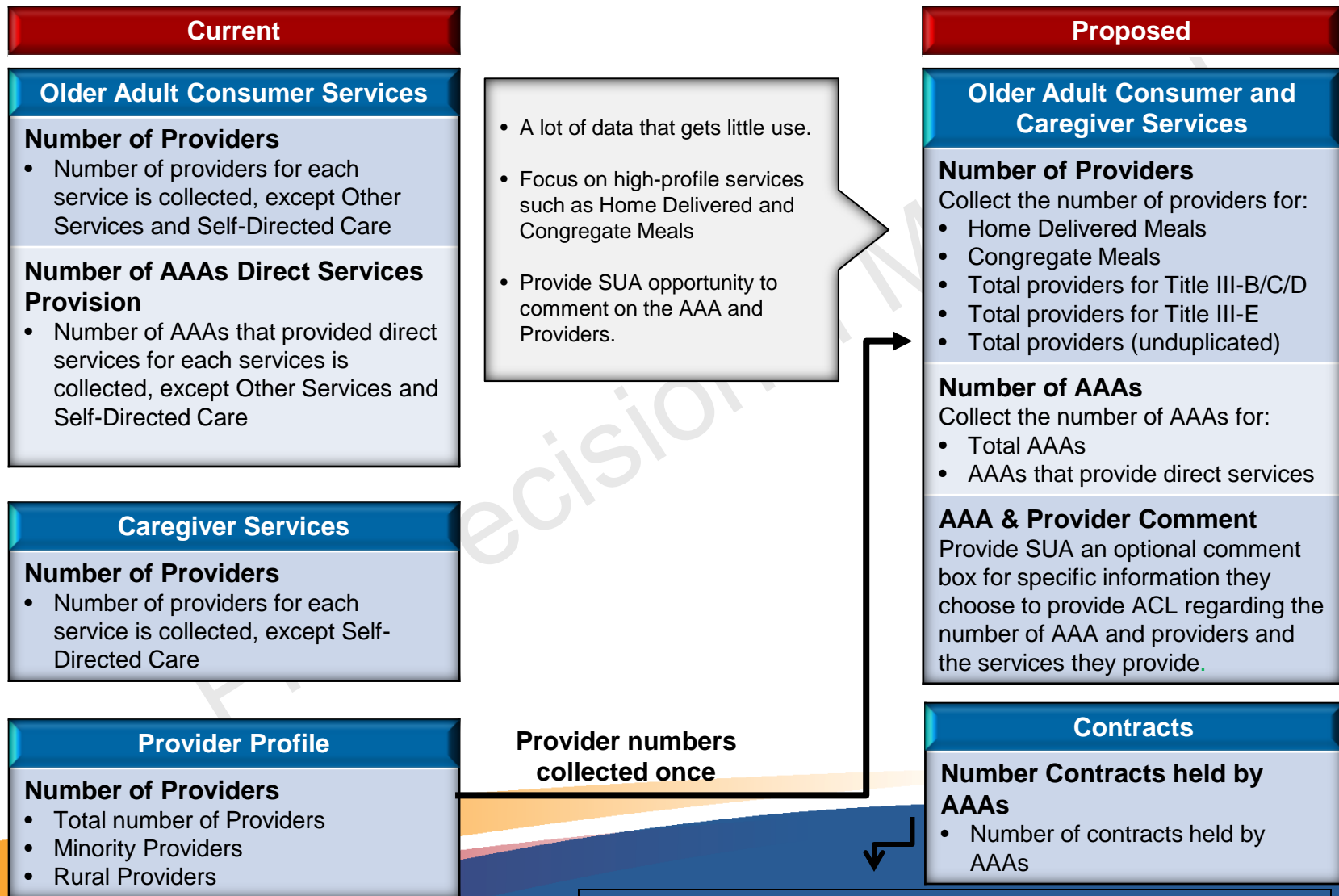
- Income
- Health Care
- Long-term Care
- Nutrition
- Housing
- Utilities
- Individual Rights (Protective services/Abuse/Neglect)
- Defense of Guardianship
- Age Discrimination
- Consumer/Finance
- Miscellaneous

Legal Assistance Service, Cross Walk between OAA Priority Issues and LSC Case Types

OAA: Priority Legal Issues	Legal Services Corporation Grantee Reporting: Case Types
Income	Income Maintenance, drop #73 Food Stamps (see nutrition)
Health care	Health, drop #54 home and community based care; #56 LTC Facilities
Long-term care	Health, only #54 HCBS and #56 LTC Facilities
Nutrition	Income Maintenance, only #73 Food Stamps
Housing	Housing
Utilities	Consumer/Finance, only #07 Public Utilities
Individual Rights: Protective services/ Abuse/Neglect	Individual Rights
Defense of Guardianship	Family, only #33 Adult Guardian/Conservatorship
Abuse	
Neglect	
Age discrimination	N/A
Consumer/Finance*	Consumer/Finance , drop #07 Public Utilities
Miscellaneous*	

*Consumer/Finance and Miscellaneous are not under the OAA list of priority legal assistance.

Network Profile: Provider & AAA Direct Service



Our interest is to learn about business acumen activities. Are there data we should collect to capture this growing area?

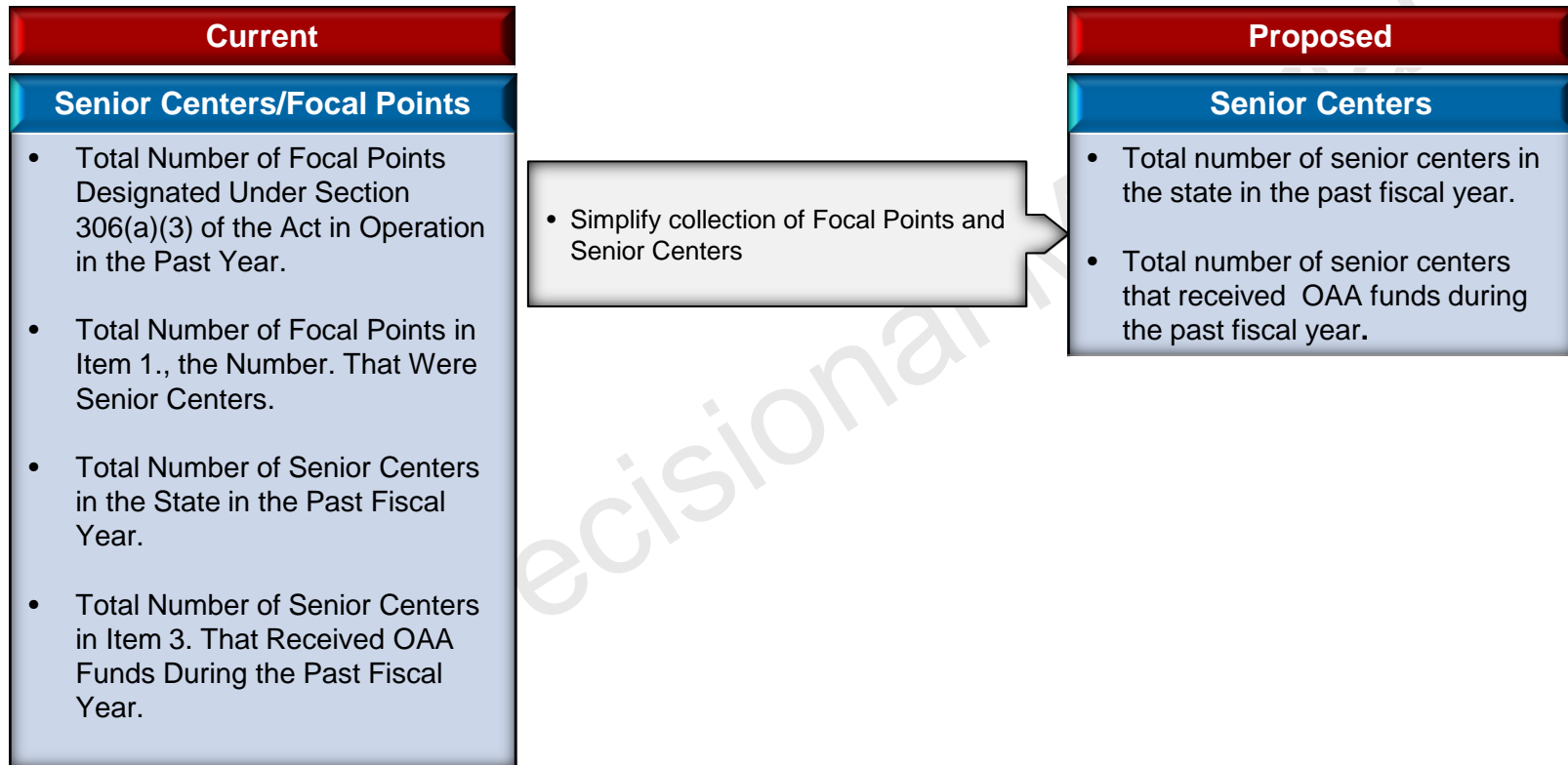
Network Profile: SUA and AAA Staffing

Current
Staffing
<p>SUA Personnel</p> <p>All FTEs and Minority FTEs</p> <ol style="list-style-type: none"> 1. Agency Executive/ Management 2. Other Paid Professional Staff <ul style="list-style-type: none"> • Planning • Development • Administration • Service Delivery • Access/Care Coordination • Other 3. Clerical/Support Staff 4. Total SUA Staff
<p>AAA Personnel</p> <p>All FTEs and Minority FTEs</p> <ol style="list-style-type: none"> 1. Agency Executive/ Management 2. Other Paid Professional Staff <ul style="list-style-type: none"> • Planning • Development • Administration • Service Delivery • Access/Care Coordination • Other 3. Clerical/Support Staff 4. Volunteers 5. Total AAA Staff

- Simplify collection of staffing information
- Collecting volunteer hours
- Allowing SUA to comment on staffing and volunteers

Proposed
Staffing
<p>SUA Personnel</p> <ul style="list-style-type: none"> • SUA Staff (paid) Full-time • SUA Staff (paid) Part-time
<p>AAA Personnel</p> <ul style="list-style-type: none"> • AAA Staff (paid) Full-time • AAA Staff (paid) Part-time
<p>SUA/AAA Staff Comment</p> <p>Provide SUA an optional comment box for specific information they choose to provide ACL regarding staffing in their network.</p>
<p>AAA Volunteers</p> <ul style="list-style-type: none"> • AAA Volunteers-Persons • AAA Volunteers-Total hours
<p>Volunteer Comment</p> <p>Provide SUA an optional comment box for specific information they choose to provide ACL regarding the volunteers in their network.</p>

Network Profile: Senior Centers and Focal Points



Data Structure and Intersections

Pre-Decisional Material



Data Elements Intersections – Section I

Counting number of intersections, Example for Section I.C. ADLs & IADLs combined

Proposed

Consumer Characteristic	Total	At or Below Poverty	Minority	ADL 3+	IADL 3+
Total Consumers					
Age					
Age: <60					
Age: 60 -64					
Age: 65-74					
Age: 75 - 84					
Age: 85+					
Age Missing					
Gender					
Female					
Male					
Transgender					
Gender Missing					
Geography					
Rural					
Non-Rural					
Rural Missing					
Poverty Status					
At or Below Poverty					
Above Poverty					
Poverty Status Missing					
Household Status					
Lives Alone					
Lives with Others					
Household Status Missing					
Ethnicity					
Hispanic or Latino					
Not Hispanic or Latino					
Ethnicity Missing					
Race					
American Indian or Alaska Native					
Asian					
Black or African American					
Native Hawaiian or Other Pacific Islander					
White					
Race Missing					
Minority Status					
Minority					
Not Minority					
Minority Status Missing					
ADL Limitations					
0					
1-2					
3+					
ADL Limitations Missing					
IADL Limitations					
0					
1-2					
3+					
IADL Limitations Missing					
Nutrition Risk Score (nutrition services only)					
0-5					
6+					
Score Missing					

**ADL/IADL Characteristics of Elderly Clients Receiving Registered Services (Cluster 1)
7 cluster/service combinations / 8 if Legal Srvs is registered**

Category	# of Subcategories	Total	At or Below Poverty	Minority	ADL 3+	IADL 3+	Approximate Data Elements	Approximate Data Elements (if Legal Srvs is registered)
Total	1	X	X		X	X	28	32
Age	6	X	X		X	X	168	192
Gender	4	X	X		X	X	112	128
Geographic	3	X	X		X	X	84	96
Poverty Status	3	X		X	X	X	84	96
Living Status	3	X	X		X	X	84	96
Ethnicity	3	X	X		X	X	84	96
Race	6	X	X		X	X	168	192
Minority Status	6	X	X		X	X	168	192
ADL	4	X	X				56	64
IADL	4	X	X				56	64
Nutrition Risk Score for Home Delivered Meals only	3	X	X		X	X	36	36
							1128	1296

Data Elements Intersections – Section I

Counting number of intersections

Current

Form-Section I	Approximate Data Elements
B	432
C-ADL*	3178
C-IADL	3178
E	127
F	92
	7007

* Examples shown on previous slide.

Proposed

Form-Section I	Approximate Data Elements	Approximate Data Elements (if Legal Srvs is registered)
B	292	292
C-ADL/IADL*	1128	1296
E	82	82
F	76	76
	1578	1746
% Decrease	77%	75%

* Examples shown on previous slide.

Data Restructure

- Data divided into components
 - Reflects more modern data structure and file types
 - Stagger submission deadlines
 - Component based validation process
- Manageable services
 - Activate/inactivate/modify services
 - Software recoding not required

Pre-Decisional Material



Next Steps

- Continue to Solicit Feedback:
 - Meeting # 7 with ADRW
 - HCBS Conference
 - Partners Meeting #2 (October)
- Best approaches for collaboration
- Definitions and better supporting materials/tools
- Business rules and software functional requirements
- Test, validate, training (no contract vehicle at this time)